



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-03)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

AF 12000
#16
11-4-03
J.R.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/401,132
Filing Date	September 22, 1999
First Named Inventor	Lee
Group Art Unit	2613
Examiner Name	G. A. Bugg

RECEIVED

OCT 09 2003

Total Number of Pages in This Submission

Attorney Docket Number SAR 12598A

Technology Center 2600

ENCLOSURES (check all that apply)

- | | | |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form
<input type="checkbox"/> Fee Attached
<input type="checkbox"/> Amendment / Response
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Return Postcard |
|---|--|--|

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name Kin-Wah Tong

Signature

Date

October 2, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

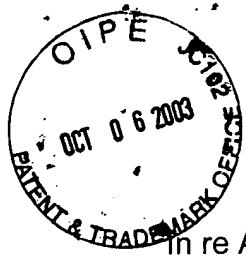
Typed or printed name Linda DeNardi

Signature

Date

October 2, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEE

Serial No.: 09/401,132

Filed: September 22, 1999

For: Apparatus and Method For
Object Based Rate Control In
A Coding System

Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

§
§
§
§
§
§
§
§
§
§

Group Art Unit: 2613

Examiner: G. A. Bugg

RECEIVED
OCT 09 2003
Technology Center 2600

CERTIFICATE OF MAILING
37 CFR 1.8

I hereby certify that this correspondence is being deposited on
October 2, 2003 with the United States Postal Service as First
Class Mail in an envelope addressed to: Mail Stop: AF,
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.

October 2, 2003
Date

Linda DiNardi
Signature

NOTICE OF APPEAL

Applicant hereby appeals to the Board of Patent Appeals and Interferences from
the decision of the Examiner dated July 2, 2003, finally rejecting claims 22-30 and 32-
38.

The fee for this Notice of Appeal (37 CFR § 1.17(b)) is \$330.00. The
Commissioner is hereby authorized to charge \$330.00 for the Notice of Appeal and any
additional fees which may be required, or credit any overpayment to Deposit Account
No. 20-0782. A duplicate copy of this sheet is enclosed.

Date: October 2, 2003

Respectfully submitted,

10/08/2003 AWONDAF1 00000009 200782 09401132
01 FC:1401 330.00 DA

Kin-Wah Tong
Kin-Wah Tong
Registration No. 39,400
MOSER, PATTERSON & SHERIDAN, L.L.P.
595 Shrewsbury Avenue, Suite 100
Shrewsbury, NJ 07702
Telephone: (732) 530-9404
Attorney for Applicant(s)